

The clinical  
effectiveness  
evaluation through an  
rct, for



The appropriate design of clinical trials, particularly randomized controlled trials (RCT), allows the production of quality evidence for the assessment of healthcare interventions. The appropriateness is essentially related with methodological issues, transparency, and the complete descriptions of how studies are conducted so they can be reproduced and fully assessed. The design of an effectiveness trial, which is the purpose of this assessment, is usually simpler than the design of efficacy trials, because effectiveness trials tend to accept more wide inclusion criteria, include flexible regimens, and allow participants to accept or reject the interventions offered to them. Typically, these trials evaluate effective interventions provided to heterogeneous participants under ordinary clinical circumstances. The current recommendations for the design of a clinical effectiveness evaluation through an RCT, for a novel lifestyle intervention including motivational interviewing, activity pacing and aerobic exercise for people complaining of long-standing low back pain (LBP), considered several reference from the literature, particularly the CONSolidated Standards Of Reporting Trials (CONSORT) statement (Schulz, 2010) and the SPIRIT 2013 checklist (Chan, 2013). These two standards are consensual and generally accepted for planning such trials.

Recommendations: Trial design, e. g. individual patient versus cluster RCT.

4 The choice of comparator 5 The method of randomisation and allocation concealment 6 The choice of primary outcome measure. 7 Methods to promote blinding. 8 Methods of recruitment 9 Methods to maximise retention. 10 Trial design, e. g. individual patient versus

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cluster RCT For the purpose of the required study, a comprehensive cohort study design might be ideal (multicenter, pragmatic, single-blind, parallel-group, non-inferiority), comparing a novel lifestyle intervention and standard of care (usual physiotherapy care) for people with long-standing low back pain. The main reasons are the following:

- o The design allows to recruit all patients fulfilling the clinical eligibility criteria regardless to their consent to randomization;
- o Must be performed an assessment of external validity by comparing the randomized study sample to the population of patients who met the eligibility criteria but did not consent to randomization;
- o Should prioritize random allocation of treatment against patient preferences. This should avoid subversion bias.

In this type of studies, the individual will know in which arm is included;

- o This design avoids the risk of massive drop outs due to consent previous to randomization, which the opposite could lead to bias;
- o Type of pragmatic trial, which includes individuals who do not consent to be randomized, reducing selection bias and improving generalizability of results;
- o There is no need to fully understand the concept of randomization by the individuals, which avoids additional anxiety and promotes confidence between patient and clinicians;
- o Avoid sex expectations and resentful demoralization.

Nevertheless, there are some issues, such as information denial of trial options prior to randomization and a denial of patient choice between interventions, which is not a major issue due to the fact that all individuals will receive care (standard or novel); Why not other designs? Not needed multiple RCTs over time, so cohort multiple RCT is not necessary, since the population will be randomized for each arm (standard or novel care); A

cluster design wouldn't be recommended, because it is more important to have a baseline population, rather than a randomization of time, place, or clinicians. This methodology could also lead to less statistically significant conclusions and loss of allocation concealment, which is fundamental to prevent randomization bias.

This design could be interesting if, for instance, the purpose was to assess effectiveness of the novel intervention performed in a different primary care unit, or delivered by a different type of health professional. Explanatory trials, on the opposite of pragmatic trials, assess if an intervention has an effect under optimal conditions, while pragmatic trials evaluate effectiveness of an intervention in real-life conditions, which is more appropriate for this purpose. For this purpose is not ideal to receive both treatments (novel and standard care) in random sequences (crossover or factorial trials), neither clustering, as previously mentioned. Parallel-group (each participant is randomly assigned to a group, and all the participants in the group receive the novel intervention or standard care) is the recommended design. A standard clinical RCT design could result in measures of clinical effectiveness, but there is a risk of low recruitment rates, poor generalizability and low external validity.

The choice of comparator The purpose is to assess clinical effectiveness of a novel intervention, which means there is a comparator (current standard care) to the novel care. The estimated effect of intervention should clearly distinguish between standard and novel care, for a similar baseline population. The choice of the comparator must be related to the trial population, and local context of practice and decision-making.

The potential comparators should rely on all technically feasible, acceptable, and relevant alternatives for the purpose of care. In the current case, for people complaining of long-standing low back pain. In this particular situation, the comparator should be based on noninvasive pharmacologic and nonpharmacologic treatments for low back pain, since the intervention is nonpharmacological and noninvasive.

The suggested comparator should be Exercise Therapy, because it is classified as recommended in the NICE recommendations REF? <https://www.nice.org.uk/guidance/ng59/resources/low-back-pain-and-sciatica-in-over-16s-assessment-and-management-pdf-1837521693637> and American Guidelines REF <http://annals.org/aim/fullarticle/2603228/noninvasive-treatments-acute-subacute-chronic-low-back-pain-clinical-practice>. Exercise Therapy already proved, with moderate-quality evidence, to have small improvements when compared with no exercise and with usual care in a systematic review for chronic low back pain REF 2: [http://www.bprclinrheum.com/article/S1521-6942\(10\)00003-3/fulltext](http://www.bprclinrheum.com/article/S1521-6942(10)00003-3/fulltext).

Since there is no evidence that one particular type of exercise therapy is clearly more effective than others, the Exercise Therapy should include the most frequent type of exercise plan, or a mix of common exercise plans. REF. ref.

DOI: [http://dx.doi.org/10.1016/j.berh.2010.](http://dx.doi.org/10.1016/j.berh.2010.01.002)

01. 002. For this comparator, a non-inferiority RCT would fit the purpose of assessing clinical effectiveness for the novel intervention. An alternative

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could be a comparison with usual care. In this case, probably a superiority RCT might be more appropriate to assess clinical effectiveness.

Nevertheless, usual care might consist in a mix of interventions, and an appropriate identification of what might be the usual care in a particular context should be identified. Other alternatives, according to the Clinical Guidelines Committee of the American College of Physicians, could be multidisciplinary rehabilitation, acupuncture, or mindfulness-based stress reduction, since all of them have proved a moderate reduction in short-term pain intensity with moderate-quality evidence. Nevertheless, these options are not recommended by NICE REF? <https://www.nice.org.uk/guidance/ng59/resources/low-back-pain-and-sciatica-in-over-16s-assessment-and-management-pdf-1837521693637>. Other recommended pathway treatments such as pharmacological and psychological are not suitable for the purpose of this study, since the clinical effectiveness of the novel intervention is not yet proven.

The first step should be to prove the clinical effectiveness among the same type of treatments in order to include the novel intervention in the treatment pathway. Comparator should address the appropriateness, in order to ensure no overestimated effectiveness of the novel intervention. The method BM1 of randomisation and allocation concealment If the standard of care consist of only one type of physiotherapy care, then the trial should be randomized through an equal assignment of 1: 1 in a 2-arm RCT (novel intervention-arm and control-arm). The selection of patients should be based in clear inclusion and exclusion criteria, in order to ensure concealment of allocation. It is essential that the allocation is not known, knowable, or <https://assignbuster.com/the-clinical-effectiveness-evaluation-through-an-rct-for/>

guessable prior to recruitment. Random allocation to the novel intervention or comparator groups will occur after confirmation of eligibility and baseline assessment. The method used should be a stratified block randomization. The stratification should be by region, since it's a multicenter trial and it is expected some heterogeneity between regions.

The blocking will allow to assess whether the severity of low back pain over the treatment arms conduces to a difference in response. The most suitable method should be a randomization after consent, due to a risk of subversion (only opened when the patient has consented) and bias (higher possibility of drop outs due to the fact that individuals will not undergo the novel lifestyle plan). Selection bias is avoided through this method, because allocation is purely by chance, and the chance of getting the observed effects is completely and absolutely free from any confounding effects.

After the selection of patients (inclusion and exclusion criteria), the randomized allocation can be processed either by local randomization using a pre-prepared sequence or by remote randomization. The most suitable way could be a remote allocation, blinded and performed using a computer-generated random allocation schedule operated by a remote researcher. The allocation of participants should then be concealed by using sequentially numbered, sealed and opaque envelopes. These envelopes, sealed using tamper-proof security tape and impermeable to intense light, should be sequentially numbered and opened sequentially only after participant details are written on the envelope. After randomization, patients would be included in the trial-arm (novel lifestyle intervention) and control-arm (standard of physiotherapy care). During this process, all the

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participants, including the ones who drop out after randomization, should be recorded.

This process guarantees compliance. This process should be clear in the report, to provide minimal criteria judgement of adequate concealment of allocation, complying with Cochrane systematic review recommendations REF and the concealment mechanism from SPIRIT statement REF.. Group allocation Allocation will be.

Source: [https://www.ncbi.nlm.nih.gov/pmc/articles/PMC4717625/pdf/12891\\_2015\\_Article\\_852.pdf](https://www.ncbi.nlm.nih.gov/pmc/articles/PMC4717625/pdf/12891_2015_Article_852.pdf)

Cochrane: The choice of primary outcome measure

The primary outcome should aim to evaluate the clinical effectiveness of a novel lifestyle intervention, based on whether individuals in the novel lifestyle arm report significant improvement, comparing with those allocated for current standard of physiotherapy care.

Since the novel lifestyle intervention includes motivational interviewing, activity pacing and aerobic exercise for people complaining of long-standing low back pain, and the study purpose is to assess clinical effectiveness, an appropriate measure of quality of life (patient related outcome measure - PROM), that produces numerical information that describes the well-being of patients, should be identified that answers, at least, eight concepts: appropriateness, acceptability, feasibility, validity, reliability, responsiveness, precision and interpretability. In this particular situation, a multi-dimensional and generic PROM measure is recommended to measure pain disability as primary outcome and there are robust and validated PROM measures

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available. There's no need to develop an entire new PROM measure. When checking the PROM instruments available, Roland-Morris Disability Questionnaire (RMDQ) could be recommended as an example BM3 to better measure outcomes for effectiveness evaluation, since it is adequate to measure health changes due to novel care, it contains 24 items relating to a range of functions commonly affected by LBP, allows to assess overall effectiveness through a score, and it is generally used for non-specific low back pain quality of life measures REF <https://www.ncbi.nlm.nih.gov/pmc/articles/PMC5077121/>.

Since there are some limitations with RMDQ, particularly ceiling effect (at some point, the variation of scores doesn't reflect a condition improvement or declining), Ref: <https://www.ncbi.nlm.nih.gov/pubmed/29154811>, the Modified Von Korff Scale would also

be recommended as complementary and valid for low back pain, because it assesses disability and its impact on daily activities, recreation, and ability to work Ref: A multicentred randomised controlled trial of a primary care-based cognitive behavioural.... Also, the Aberdeen Back Pain Scale, Örebro Musculoskeletal Screening Questionnaire (OMSQ or OMSQ-12), Osteoporosis Functional Disability Questionnaire, Psychosocial Functioning Questionnaire for Patients with Low Back Pain, Quebec Back Pain Disability Scale, and Oswestry pain disability index could also be used. Other non-specific back pain PROMs could also be used, such as Brief Pain Inventory, Short Form 36 physical functioning subscale and McGill present pain index. These are appropriate because they answer the question of the study; they are acceptable, because it only requires a few time and non-invasive <https://assignbuster.com/the-clinical-effectiveness-evaluation-through-an-rct-for/>

procedures; they are feasible, easy to process and requires few time from professionals and participants; they are valid, since they were already tested and published; they are reliable, because the validity process already showed internal validity and consistency; they are responsive, since allows to detect changes over time in the quality of life for patients with LBP; they are precise since the scores result from several dimensions of care; and the interpretability is high, because the score result is associated with a degree of health state associated with patient preferences.

The suggestion of these PROMs doesn't mean that literature should not be consulted to detect other possible PROM measures, as well as the consultation of ePROVIDE resources. Depending on the setting where the study will be used for decision-making, the authority guidelines should be consulted, as well as patients and clinicians, for the same reason. · Methods BM4 to promote blinding Blindness is recommended in order to avoid the subversion risk (e. g.

the allocation of the next envelope, if not blinded, could be performed only when a suitable patient appears, which is not desirable). Blinding of the treating physiotherapists and participants will not be possible because they will know the intervention arm to which they have been allocated. The blinding should be performed for outcome assessment, and is achieved if neither patients nor those involved in the trial have any means to discover which arm a patient is in.

When considering the risk of bias from lack of blinding of outcome assessment it is important to consider specifically who is assessing the

outcome, and the risk of bias in the outcome assessment (considering how subjective or objective an outcome is). Questionnaires at all time points will be self-completed by the patient. A valid method could be to inform patients not to tell outcome assessor the treatment they received and fill the questionnaire in a centralized research facility, where the assessor had no contact with the intervention procedure. In this case, a letter should be sent to participants before any assessment stating that they should make no mention of their intervention. Ref.

methods: <http://journals.plos.org/plosmedicine/article?id=10.1371/journal.pmed.0030425> In this way, blinding will be achieved by having an independent blinded assessor performing the follow-up assessments after 6 and 12 months.

The blinded assessor will not be treating any of the participants, nor be aware of their group allocation. The statistician conducting the primary data analysis will also be blinded to group allocation. Authors should consider to group outcomes with similar risks of bias.

This method follows the CONSORT and SPIRIT statements, and The Cochrane Collaboration's tool for assessing risk of bias. ref? · Methods BM5 of recruitment Participants meeting the eligibility criteria previously defined will be recruited. Potential participants can be identified through searching general practice records, and from direct referrals from general practitioners. Treating physiotherapists will screen (all) potential participants from the outpatient clinics, and inform them about the study. Potential participants interested in participating in the study will receive an information statement

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and be referred to the research team. Patients with chronic LBP who meet the inclusion criteria will be invited to participate in the trial. A research assistant will discuss the study and offer participation to those who meet the inclusion criteria, including a participation fee.

If they agree to participate a signed consent form will be recorded and baseline data will be collected. Source: [https://www.ncbi.nlm.nih.gov/pmc/articles/PMC4717625/pdf/12891\\_2015\\_Article\\_852.pdf](https://www.ncbi.nlm.nih.gov/pmc/articles/PMC4717625/pdf/12891_2015_Article_852.pdf)

Each potential participant goes through an eligibility check. Telephone reminders to non-respondents, opt-out procedures requiring potential participants to contact the research team if they do not want to be contacted about the trial, and the financial incentives with the trial invitation are the key features for recruiting REF.

. Ref. <https://www.journalslibrary.nihr.ac.uk/hta/hta11480#/abstract>

The patients will provide written informed consent prior to randomisation. The participants providing written consent are consecutively included and randomized. ? <http://dx.doi.org/10.1136/bmjopen-2012-002360>

Fonte: Methods to improve recruitment to RCT: Cochrane ... · Methods BM6 to maximise retention

The comprehensive cohort study design is useful to improve recruitment rates,

because it does not exclude from the RCT participants with strong

preferences. At the beginning, patient preferences are elicited before

randomisation occurs, and the study design recruits all patients that are

eligible regardless of their consent to randomisation.

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Those who do not consent to randomisation are kept in the study but their treatment choice is made based on preference. Patients who consent are randomized to the two treatment choices. A method to maximize retention should address participants' motivation and the maintenance of the participants and site clinicians engaged with the trial. It is proven that providing incentives can improve retention REF.

. Loss of participants must not exceed 5%. In the worst case scenario, 20% or greater loss of participants might threaten the trial validity. Despite the possibility of solving some issues by statistical methods, the risk of bias will remain.

For this particular study, it is recommended to:- Provide monetary incentives to the participants who consent to randomization and remain in the attributed arm (no more than 10 euros, so it won't be perceived as coercion for data). The incentive should be given after the reception of a fully answered questionnaire.- Keep the questionnaire short.

Nevertheless, this aspect should not be considered as a priority since there is no sufficient evidence that it would provide an increase of responses;- Contact people before sending the questionnaires. Non-monetary incentives are not proven to be effective ways of maximizing retention, because they don't increase response rates REF..

This method might increase retention, providing greater generalizability, validity and reliability to the trial results. Source: " strategies to improve retention in randomized trials: a Cochrane systematic review and meta-analysis", Brueton, et al. ([http://dx. doi. org/10. 1136/bmjopen-2013-](http://dx.doi.org/10.1136/bmjopen-2013-)

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003821) BM1DONE BM2DONE BM3The Roland Morris Questionnaire(RMQ) is the most widely used measure of LBP disability in primary-care trials.

itcontains 24 items relating to a range of functions commonly affected by LBP. 102It takes less than 5 minutes to complete. It has good reliability101 but thereare concerns that it does not conform to many of the assumptions that underpinit's use in statistical analysis (scaling and normality of distribution). Datafrom the Oxfordshire Low Back Pain Trial suggested that it had a marked ceilingeffect, failing to capture important clinical information on improvement inparticipants with subacute or chronic LBP attending NHS physiotherapy. It hasbeen shown to be differentially sensitive at low, mid and high ranges, with(not unsurprisingly) better sensitivity in the middle range.

108, 109 In the lowto mid range, the RMQ is less sensitive to within-group changes than theAberdeen Low Back Pain Score, but better at detecting between-groupdifferences. 101 TheModified Von Korff Scale (MVK)103 assesses two dimensions - pain and disabilityassociated with back pain in the last 4 weeks. It is made up of six items, eachof which is scored on a scale of 0 (no pain/disability) to 10 (worstpain/disability). The first three of these items relate to disability and askabout how back pain interferes with (1) daily activity, (2) recreation and (3)ability to work.

The last questions relate to pain and assess the (1) worstpain, (2) average pain and (3) rating of back pain today. The questionnaire wasadministered at baseline, 3, 6 and 12 months. BM4DONE BM5DONE BM6DONE