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345678910Project Dissertation submitted to the University of Wales, Swansea in Partial Ful_iment for the Degree of Bachelor of Science
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Chapter 1 Introduction 1. 1 Introduction In Computational Complexity the class NP-complete is a very important research area in Computer Science, reason being that determining whether or not every problem whose solution can be efficiently verified by a computer, can also be quickly solved by a computer. The following problem is referred to as the P versus NP problem, which is one of the principal unsolved problems in Computer Science to date. Also, demonstrating that many computational problems that occur throughout Computer Science are in the complexity class NP-complete, by showing a transformation from a known NP-complete problem. The main purpose of this dissertation is to study the Computational Complexity class NP-complete and in particular the reduction notion. This paper will focus on 8 decision problems which are known to be in the complexity class NP-complete and show their reductions from known NP-complete problems. For the 8 NP-complete problems I will give the precise definitions. I will also give examples when the decision problem is satisfied and in the case its not. I will start by introducing the Computational Complexity classes P, NP and NP-complete. For each of the complexity classes, I will begin by giving a brief insight into the history behind the complexity classes. Also, providing precise definitions of the complexity class, referring to known problems that are in the class and inserting illustrations when needed. Subsequently, I will present the precise definition of the 8 problems also taking into account the different interpretations of the problem. I will go on to give a brief insight into the history behind the problems. Also,

providing examples of applications that model these 8 problems and insert illustrations when needed. Finally, I will define each of the 8 decision problem by giving the input, size of the problem and output. We will give the history of discovery of each problem, show where the NP-completeness of the decision problem was first shown. Firstly we verify that each of the decision problems can be efficiently verified by providing examples. We then derive rigorous proofs for each reduction, proving that the decision problem is in the complexity class NP-complete.

6.1.2 Discovery

In 1971, Stephen Cook a Canadian Computer Scientist introduced the theory of NP-completeness, in a paper entitled "The Complexity of Theorem Proving Procedures" [7]. Within this paper Stephen Cook introduced important concepts regarding NP-completeness. Firstly, he confirmed the class NP, where the abbreviation of NP refers to nondeterministic polynomial time. The decision problems in the class NP can be solved in polynomial time by a nondeterministic computer. Also, he stressed the importance of polynomial time reduction, such that every problem in the decision class NP is reducible to a given decision problem C in polynomial time. If it's the case, that we have a polynomial time reduction from one NP problem to another given NP problem. This confirms that any polynomial time algorithm for the second problem can be converted into a polynomial time algorithm for the first problem. Thirdly, he introduced the first decision problem in the class NP, the Satisfiability problem which is more often than not referred to as the SAT problem. This confirms that a given decision problem c in NP, can be polynomially reduced to the Satisfiability problem. If the satisfiability problem can be solved with a polynomial time algorithm, then so can every problem in NP, and if

any problem is in NP-hard, then the satisfiability problem also must be hard. Finally, Stephen Cook proved that a problem in the decision class NP may also be in the decision class NP-hard such that, a given decision problem c is NP-hard if and only if there is a known problem in NP-complete i such that the problem i is polynomial time reducible to c . Subsequently, in 1972 Richard Karp an American Computer Scientist developed on the ground work of Stephen Cook by using the Boolean Satisfiability problem to prove several other problems were in the class NP-complete by showing there is a polynomial-time reduction from the Boolean Satisfiability problem to each of the Karp's 21 NP-complete problems in his paper, "Reducibility Among Combinatorial Problems" [19]. The development of Richard Karp's 21 NP-complete problems steered a wide-ranging interest in the concept of NP-completeness.

Chapter 2 Preliminaries

2.1 Graph theory

Definition 2.1.1 A graph is a pair $(V; E)$, where V is the set of vertices, and E is the set of edges, where an edge is a 2-element subset of V . Often a graph is denoted by $G = (V; E)$, and we may use $V(G) := V$ and $E(G) := E$. [14]

Example 2.1.2 Some simple examples for graphs, using mathematical notation, and additionally drawing them.

1. A graph with one vertex: $(\{f\}; \emptyset)$. Note that a graph with at most one vertex can not have an edge.

2. There are exactly two possible graphs G with $V(G) = \{f, g\}$, namely with $E(G) = \emptyset$; or $E(G) = \{f, g\}$.

3. There are exactly three possible graphs G with $V(G) = \{f, g, h\}$, namely with $E(G) = \emptyset$; or $E(G) = \{f, g\}$ or $E(G) = \{f, g, h\}$.

Figure 2.1: Undirected graphs. (a) A undirected graph $G = (V, E)$, where $V = \{f, g\}$ and $E = \{f, g\}$. (b) An undirected graph $G = (V, E)$, where $V = \{f, g\}$ and $E = \emptyset$. (c) An undirected graph $G = (V, E)$, where $V = \{f, g, h\}$ and $E = \{f, g, h\}$.

Definition 2.1.3 A bipartite graph is an undirected graph $G = (V; E)$, in which V can be partitioned into two sets V_1 and V_2 such that $(u, v) \in E$ implies either $u \in V_1$ and $v \in V_2$ or $u \in V_2$ and $v \in V_1$. That is, all edges go between the two sets V_1 and V_2 [25].

Example 2.1.4 Some simple examples for bipartite graphs, using mathematical notation, and additionally drawing them.

1. The bipartite graph $G = (5, 6)$, where $V_1 = \{1, 2, 3\}$, $V_2 = \{4, 5\}$ and $E = \{(1, 4), (1, 5), (2, 4), (2, 5), (3, 4), (3, 5)\}$.

2. The bipartite graph $G = (9, 8)$, where $V_1 = \{1, 2, 3, 4, 5\}$, $V_2 = \{6, 7, 8, 9\}$ and $E = \{(1, 6), (2, 6), (2, 7), (3, 8), (3, 9), (4, 7), (5, 6), (5, 9)\}$.

Figure 2.2: Undirected graphs. (a) A undirected graph $G = (V, E)$, where $V_1 = \{1, 2, 3\}$ and $V_2 = \{4, 5\}$ and $E = \{(1, 4), (1, 5), (2, 4), (2, 5), (3, 4), (3, 5)\}$. (b) A undirected graph $G = (V, E)$, where $V_1 = \{1, 2, 3, 4, 5\}$, $V_2 = \{6, 7, 8, 9\}$ and $E = \{(1, 6), (2, 6), (2, 7), (3, 8), (3, 9), (4, 7), (5, 6), (5, 9)\}$.

2.2 Sets

Definition 2.2.1 A Set, is a collection of distinguishable objects, called its elements. If object x is an element of set S , we write $x \in S$. If $x \notin S$. We express a set by listing all the elements inside braces.

Example 2.2.2 Some simple examples for sets, using mathematical notations, and additionally drawing them:

1. The set $S = \{20, 95, 106, 48, 52, 7\}$. The set S contains the integers 7, 20, 48, 52, 95 and 106.

2. The set $S = \{32, 77, 21, 54, 21, 77\}$. The set S contains the integers 32, 77, 21, 54, 21 and 77.

3. The set $S = \{1, 2, 3, 4, 5, 6, 7, 8, 9\}$. The set S contains the integers 1, 2, 3, 4, 5, 6, 7, 8 and 9.

Figure 2.3: Finite sets. (a) The finite set $S = \{20, 7, 48, 52, 106, 95\}$. (b) The finite set $S = \{37, 106, 52, 95, 48, 7\}$. (c) The finite set $S = \{1, 9, 8, 3, 6, 7, 2, 5, 4\}$.

Example 2.2.3 Some special notations for frequently encountered sets, using mathematical notations:

1. \emptyset ; expresses the empty set, which is the set containing no elements.

2. \mathbb{Z}

expresses the integer set, which is, the set $\mathbb{Z} = \{\dots, -2, -1, 0, 1, 2, \dots\}$. \mathbb{R} expresses the real number set, which is, the set \mathbb{R} . \mathbb{N} expresses the natural number set, which is, the set $\mathbb{N} = \{0, 1, 2, \dots\}$.

Definition 2.2.4 A subset, is a collection of distinguishable elements, such that, all the elements of the set A are in the set B , if $x \in A$ implies $x \in B$. We express A is a subset of B by writing $A \subseteq B$.

Example 2.2.5 Some simple examples for subsets, using mathematical notations, and additionally drawing them:

- The finite sets $S = \{1, 3, 4, 20, 3, 1\}$ and $S' = \{4, 20, 3\}$ show that $S' \subseteq S$.
- The finite sets $S = \{7, 2, 7, 9, 1\}$ and $S' = \{9, 1\}$ show that $S' \subseteq S$.
- The finite sets $S = \{2, 3, 8, 14, 11\}$ and $S' = \{14, 11\}$ show that $S' \subseteq S$.

Figure 2.4: Finite sets. (a) The finite set $S' = \{4, 20, 3\}$ is a subset of the set $S = \{1, 3, 4, 20, 3, 1\}$. (b) The finite set $S' = \{9, 1\}$ is a subset of the set $S = \{1, 7, 9, 7, 2, 7\}$. (c) The finite set $S' = \{11, 14\}$ is a subset of the set $S = \{2, 14, 8, 3, 11\}$.

Definition 2.3.1 In Boolean Logic, a formula is in Conjunctive Normal Form (CNF) if it is of the form $C_1 \wedge C_2 \wedge \dots \wedge C_n$ where each C_i is a disjunction of the literals. The formula C_i are also called (disjunctive) clauses.

Example 2.3.2 Some simple examples for CNF Boolean Logic, using mathematical notation, and additionally drawing them:

- The following formula is a disjunction of two literals $A \vee B$.
- The following formula is a conjunction of two clauses $A \wedge B$.
- The following formula is a conjunction of two clauses where each clause has a disjunction of literals $(A \vee B) \wedge (C \vee D)$.

Definition 2.3.3 A Boolean Logic formula is said to be in n -CNF, where n is a natural number, if it is in CNF and every clause contains at most n literals.

Figure 2.5: Boolean Logic formulas. (a) The truth table for

the Conjunctive Normal form clause (AWB). (b) The truth table for the conjunction of two clauses AVB. (c) The truth table for the Conjunctive Normal formula, where each clause has two distinct literals (AWB)V(CVB). This shows that the third CNF example above is in 2-CNF.

Definition 2.3.4 In Boolean Logic, a formula is in Disjunctive Normal Form (DNF) if it is of the form $C_1 \vee C_2 \vee \dots \vee C_n$ where each C_i is conjunction of literals. The formula C_i are also called (conjunction) clauses. Example 2.3.5 Some simple examples for DNF

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Boolean Logic, using mathematical notations, and additionally drawing them: 1. The following formula is a conjunction of two literals: AVB . The following formula is a disjunction of two clauses: AWB . The following formula is a disjunction of two clauses where each clause has a conjunction of literals: $(AVB)W(CVB)$.

Figure 2.6: Boolean Logic formulas. (a) The truth table for the Disjunctive Normal form clause (AVB). (b) The truth table for the disjunction of two clauses AVB. (c) The truth table for the Disjunctive Normal formula, where each clause has two distinct literals (AVB)W(CVB).

Definition 2.3.6 The DeMorgan's Law 1. $\neg(P \wedge Q) \equiv (\neg P) \vee (\neg Q)$ 2. $\neg(P \vee Q) \equiv (\neg P) \wedge (\neg Q)$

In other words, the DeMorgan's Laws are transformation rules, such that the negation of a conjunction is the disjunction of the negations. Also, the negation of a disjunction is the conjunction of the negations.

2.4 Polynomial-time

Definition 2.4.1 In Computational Time Complexity, a given algorithm is a Polynomial Time algorithm if on inputs of size n , their worst-case running time is $O(n^c)$.

Example 2.4.2 Some simple examples of Polynomial Time algorithms, using mathematical notation: 1. $DIV(x, y) = (q, r)$ such that $x = y \cdot q + r$ where $r < y$. The remainder r is also denoted $x \bmod y$. 2. GCD is defined

as the largest z such that z/x and z/y . 3. $\text{EXP}(x, y) = xy$. 132. 5

Functions De_ nition 2. 5. 1 For any real number x , we denote the greatest integer less than or equal to x by $\lfloor x \rfloor$ " the floor of x ". De_ nition 2. 5. 2 For any real number x , we denote the least integer greater than or equal to x by $\lceil x \rceil$ " the ceiling of x ". Example 2. 5. 3 Some simple examples using the floor and ceiling function: 1. $x = 2.4$: $\lfloor x \rfloor = 2$ and $\lceil x \rceil = 3$. 2. $x = 2.9$: $\lfloor x \rfloor = 2$ and $\lceil x \rceil = 3$. 3. $x = 3$: $\lfloor x \rfloor = 3$ and $\lceil x \rceil = 3$. 4. $x = 7$: $\lfloor x \rfloor = 7$ and $\lceil x \rceil = 7$.

Matrices De_ nition 2. 6. 1 In mathematics, a matrix is a rectangular array of number, symbols and expressions, which are arranged in rows and columns. Example 2. 6. 2 Some simple examples using matrices: 1. $x = 2.4$: $\lfloor x \rfloor = 2$ and $\lceil x \rceil = 3$. 2. $x = 2.9$: $\lfloor x \rfloor = 2$ and $\lceil x \rceil = 3$. 3. $x = 3$: $\lfloor x \rfloor = 3$ and $\lceil x \rceil = 3$. 4. $x = 7$: $\lfloor x \rfloor = 7$ and $\lceil x \rceil = 7$.

Chapter 3 P, NP and NP-Complete 3. 1 Overview In chapter 3, we present the computational complexity classes P, NP and NP-complete. I will begin the chapter by introducing the complexity class P, which is the set of decision problems which can be solved efficiently. I begin this section by giving an insight into the history behind the notion P. I will then go on to present the formal definition of the Complexity class P and provide examples of computational problems that are in the complexity class P. Subsequently, I will go on to introduce the complexity class NP, which is the set of decision problems whose solution can be verified efficiently by a computer. I also begin this section by giving an insight into the history behind the notion NP. We go on to present the formal definition of the complexity class NP by showing the two conditions that must hold if the decision problem has an efficiently verifiable proof system. I will also provide some examples of decision problems that are in the complexity class NP.

Finally, I will conclude this chapter by introducing the complexity class NP-complete, which is the set of decision problems whose solution cannot be solved efficiently by a computer. In this section we present the theory of NP-completeness, which is based on the notion of a polynomial time transformation. We present the formal definition of the theory of NP-completeness, by showing the steps an NP problem has to take in order to be in the complexity class NP-complete. I will then go on to provide the formal definition of a polynomial time reduction and give examples of well known reductions of NP-complete problems.

3.2 P3.2.1 Discovery In 1964, Alan Cobham an American mathematician introduced the set of problems which are efficiently solvable in polynomial time, in his paper entitled "The intrinsic computational difficulty of functions" [5]. He proved that many of the mathematical functions can be proved in polynomial time.

15 Subsequently, in 1965, Jack Edmond a Canadian mathematician proved the maximum matching problem has a polynomial time algorithm named the Blossom algorithm, in his paper entitled "Paths, trees, and flows" [9].

Definition 3.2.1 A decision problem $S \subseteq \{0, 1\}^*$ is efficiently solvable if there exists a polynomial-time algorithm A such that, for every x , it holds that $A(x) = 1$ if and only if $x \in S$ [24]. In other words, a decision problem S is in the complexity class P if there exists a polynomial time algorithm which solves S .

Example 3.2.2 Some examples of decision problems that are in complexity class P : 1. Primality - Given an integer $n > 0$, determining whether or not n is prime was a commonly probed question in mathematics. Since, Carl Gauss, a German mathematician challenged mathematicians to solve primality efficiently. The achievement of finding an efficient algorithm for

primality was well presented by Agrwal and Mainindra in the paper entitled "Primes in P" [1].

2. GCD - Given two integers a and b (where at least one of which is non-zero), determine the largest integer z which divides a and b . The most famous algorithm for solving the Greatest Common Divisor is the Euclid Algorithm which is shown in [20].

3. Maximum matching - Given a graph $G = (V, E)$, a Maximum Match m in G is a set of pairwise non-adjacent edges, such that no two edges share a common vertex. Jack R. Edmonds a Canadian mathematician efficiently solved the maximum match problem, in a paper entitled "Paths, trees and flowers" [9].

4. Eulerian Cycle - Given a graph $G = (V, E)$, a Eulerian Cycle c in G is a closed cycle that spans through all the edges of G . The Eulerian cycle of given graph can be solved efficiently by using the Fleury's Algorithm. Wilson and Robin [28] describe the Fleury's algorithm well.

5. Connectivity - Given a graph $G = (V, E)$, a given graph G is connected if there is a path between every pair of vertices. The connectedness of a given graph G can be solved efficiently by either using depth-first search or breadth-first search algorithms. Targan and Hopcroft [16] describe the algorithm well for determining whether or not a graph is connected.

16 Figure 3. 1: Given an undirected graph G . (a) A graph $G = (V, E)$, where $V = \{1, 2, 3, 4\}$ and $E = \{(1, 2), (2, 3), (3, 4), (4, 2)\}$. (b) A graph $G' = (V, E)$, where $V = \{1, 2, 3, 4\}$ and $E = \{(1, 2), (2, 3), (3, 4), (4, 2)\}$, such that $\{(1, 2)\}$ and $\{(3, 4)\}$ do not share a common vertex.

3. 3 NP3. 3. 1 Discovery

In 1971, Stephen Cook a Canadian Computer Scientist introduced the theory of NP-completeness in his paper "The Complexity of Theorem Proving" [7], which provided the first NP-completeness proof. Stephen Cook proved the first problem in the class NP, the Satisfiability problem, which is more often

that not referred to as the SAT problem and also proved the same for 3SAT. Subsequently, in 1972 Richard Karp an American Computer Scientist presented his paper "Reducibility among Combinatorial Problems" [19]. Stephen Karp's paper introduced 19 more problems that were NP-complete, which include the Hamiltonian Circuit, Clique and Vertex cover. It also provided methods to prove NP-completeness using transformations from problems known to be NP-complete. In 1979, Michael Garey and David Johnson published the textbook entitled "Computers and Intractability: A Guide to the Theory of NP-completeness" [14]. This was the first book on the theory of NP-completeness. Garey and Johnson provide countless number of problems that are NP-complete and provide the original sources where its NP-completeness was shown.

Definition 3.3.1 A decision problem $S \subseteq \Sigma^*$ has an efficiently verifiable proof system if there exists a polynomial p and a polynomial-time (verification) algorithm V such that the following two conditions hold:

1. **Completeness:** for every $x \in S$, there exists y of length at most $p(|x|)$ such that $V(x, y) = 1$.
2. **Soundness:** For every $x \notin S$ and every y , it holds that $V(x, y) = 0$. Thus, $x \in S$ if and only if there exists y of length at most $p(|x|)$ such that $V(x, y) = 1$.

In such a case, we say that S has an NP-proof system, and refer to V as its verification procedure (or as the proof system itself). We denote NP the class of decision problems that have efficiently verifiable proof systems [24].

In other words, condition one refers to true assertions that have valid proofs. Assertions refer to elements in S , from this we understand for every x belonging to S exists a string y such that $V(x, y) = 1$ (YES). v accepts y as a valid proof for the elements of x in S . Conversely, condition two refers to false assertions that have not valid

proofs, that is for every x not belonging to the set S and every string y it holds that $V(x, y) = 0$ (NO) v rejects y as a proof for the elements of x in S .

Example 3. 3. 2 Some examples of decision problems that fall into the class

NP: 1. Set Cover - Consider a collection C of subsets of a finite set S and a positive integer $K \leq |C|$. Does C contain a cover of S of size K . Consider a finite set $S = \{5, 6, 7, 8, 9\}$ and set of sets $C = \{\{5, 6, 7, 8, 9\}, \{6, 8\}, \{7, 8\}, \{8, 9\}\}$ and $K = 2$. $V((S, C), 2)$ where S is the finite set and C is the set of sets, whose union is S , Thus for $K = 2$ we have $\{\{5, 6, 7\}, \{8, 9\}\}$ is a cover for S . 2. Directed Hamiltonian Circuit - Consider a directed graph G (recall Definition 5. 4. 1). Does G contain a directed Hamiltonian circuit. A directed graph $G = (V, E) = (\{1, 2, 3, 4\}, \{(1, 2), (2, 5), (2, 4), (2, 2), (5, 4), (4, 5), (4, 1)\})$. $V(G, \{1, 2, 3, 4, 1\})$ we find we can derive a Directed Hamiltonian cycle from the given graph G . Figure 3. 2: (a) The truth table for the clause $(x \vee y \vee z)$ shows that for the instance where $x = 1, y = 2$ and $z = 1$ evaluates to true. (b) The graph $G = (V, E)$, where $V = \{1, 2, 3, 4\}$ and $E = \{(3, 2), (2, 4), (3, 4), (4, 1), (1, 3)\}$ shows that for the instance $\{3, 2, 4, 1, 3\}$ shows we have a cycle for this instance

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The following definition of NP shows that any problem that is in P is also in NP. Since if a decision problem is in the decision class P, it indicates that we can solve it in polynomial time without even being given a certificate. 183. 4 NP-complete Definition 3. 4. 1 The process of devising an NP-completeness proof for a decision problem L will consist of the following four steps: 1. showing that L is in NP, 2. selecting a known NP-complete problem L' , 3. constructing a transformation f from L' to L , and 4. proving that f is a (polynomial)

transformation [14]. In other words, a decision problem L is said to be in the class NP-complete if there is a known NP-complete that can be reduced to the decision problem L using a polynomial-time algorithm. Example 3.4.2 Some examples of decision problems that fall into the class NP-complete: 1. Vertex Cover - Given a graph $G = (V, E)$ and a positive integer $K = |V'|$ - Is there a vertex cover of size K for G , that is, a subset $V' \subseteq V$ such that $|V'| = K$ and, for each edge $fu, vg \in E$, at least one of u and v belongs to V' . 2. Clique - Given a graph $G = (V, E)$ and a positive integer $J = |V'|$ - Does G contain a clique of size J , that is, a subset $V' \subseteq V$ such that $|V'| = J$ and every two vertices in V' are joined by an edge in E . Figure 3.3: Undirected graphs. (a) A undirected graph $G = (V, E)$, where $V = \{1, 2, 3, 4, 5, 6, 7\}$ and $E = \{(7, 1), (1, 2), (2, 3), (2, 6), (3, 6), (3, 5), (6, 5), (3, 4)\}$ we have a vertex cover $V' = \{1, 6, 3\}$ such that all the edges in G are covered. (b) A undirected graph $G = (V, E)$, where $V = \{1, 2, 3, 4, 5, 6\}$ and $E = \{(1, 2), (2, 3), (3, 4), (4, 5), (3, 5), (5, 6), (6, 2)\}$ we have a clique $V' = \{3, 4, 5\}$ such that each pair of vertices is connected by an edge.

19 Definition 3.4.3 We say that a decision problem L_1 is polynomial-time reducible to a problem L_2 , written $L_1 \leq_p L_2$, if there exists a polynomial-time computable function $f : \{0, 1\}^* \rightarrow \{0, 1\}^*$ such that for all $x \in \{0, 1\}^*$, $x \in L_1$ if and only if $f(x) \in L_2$ [24]. In other words, the general notion of a polynomial-time reduction is, given a decision problem L it can be reduced to a problem L' if it's the case that, an instance of L can be transformed using a polynomial-time to an instance of L' , in which the transformation also gives a solution to the instance of L . Example 3.4.4 Some examples of decision problems that have been reduced to other decision problems: 1. Clique \leq_p Vertex-cover 2. Vertex Cover \leq_p Hamiltonian-cycle 3. 3-SAT \leq_p Clique Figure 3.

4: Undirected graphs. (a) A undirected graph $G = (V, E)$, where $V = \{u, v, z, w, y, x\}$ and $E = \{(z, u), (u, v), (v, x), (x, y), (y, u), (y, v), (y, w), (u, x), (z, x), (z, w)\}$ has the clique $V' = \{x, u, v, y\}$. (b) The graph G' is constructed by a polynomial-time reduction algorithm, which has a Vertex Cover $V - V' = \{z, w\}$. (c) The graph G with a clique is constructed from the 3-CNF formula $H = (x_1 \vee x_2 \vee x_3) \wedge (\neg x_1 \vee \neg x_2 \vee \neg x_3)$ using a polynomial reduction algorithm. Lemma 3.4.5 If $L_1 \leq_p L_2$ then $L_2 \in P$ implies $L_1 \in P$. Proof Let A_2 be a polynomial-time algorithm that decides L_2 , and let F be a polynomial-time reduction algorithm that computes the reduction function f . We shall construct a polynomial time algorithm A_1 that decides L_1 [25].

20 Figure 3.5: From the diagram we see that F is reduction algorithm that computes the reduction f from L_1 to L_2 in polynomial time. A_2 is a polynomial-time algorithm that decides L_2 . Image from [30].

21 Chapter 4 The 8 basic NP-complete problems

4.1 Overview In chapter 4, we present 8 basic NP-complete by providing precise definitions. We also take an insight into the history behind all 8 problems. Finally, we go on to provide examples how these problems are modelled in real-time applications.

4.2 Graph Colouring

Definition 4.2.1 Consider a graph G (recall Definition 2.1.1). A colouring of G with colour-set C is a map $f : V(G) \rightarrow C$ such that for each $u, v \in E(G)$ we have $f(u) \neq f(v)$. Such a colouring is called a k -colouring for some integer $k \geq 0$ if $|C| = k$. The default colour-set of a k -colouring is $\{1, 2, \dots, k\}$. In other words, a graph colouring uses a colour-set C , and assigns to every vertex a colour, that is, an element of C , such that adjacent vertices get different colours. There are many different interpretations of the graph colouring problem. A possible interpretation would be, edge colouring which

assigns a colour to every edge in the graph G , such that no two adjacent edges share the same colour. Another possible interpretation would be, region colouring which assigns a colour to each region in the graph G , such that no two regions that share the same boundary have the same colour. The graph colouring problem originates from the problem of colouring the countries of a map such that no two countries that have a common border receive the same colour. It is possible to transform a map to a planar graph G . Such that, every country gets a point in the plane and connect each pair of points that match the countries with a common border by a curve. Then we determine whether or not every planar can be coloured with 4 colours.

Figure 4. 1: image from [29]. Example 4. 2. 2 Here are some examples of applications that model the graph colouring problem:

1. Scheduling - Scheduling an exam timetable can be scheduled in any order, but pairs of exams may cause major problem if they are assigned to the same time slot. The following graph G would contain a vertex for every exam and an edge for every conflicting pair of exams.
2. Register allocation - Each colour represents an available register. The following graph G would contain a vertex for each variable if its the case that variable a and b are live at the same point they cannot be assigned to the same register. We add an edge (a, b) to the graph.

Figure 4. 2: The diagram shows the three exams History, Maths and P. E which coincide at the same time on the timetable. English and P. E obviously don't coincide with any other subject.

3. Clique Definition 4. 3. 1 Consider a graph G (recall Definition 2. 1. 1). A clique of G is a subset $V' \subseteq V$ of vertices such that every two vertices are connected by an edge in E .

In other words, a clique determines a complete sub-graph

of G , that is, a subset S of vertices such that every two vertices in S are connected by an edge in G . There are many different interpretations of the clique problem. A possible interpretation would be, the maximum clique in a given graph G , which is the sub-graph with the largest possible number of vertices. Another possible interpretation would be, the maximal clique, which refers to a sub-graph in which no more vertices can be added. Figure 4. 3: A undirected graph $G = (V, E)$, where $V = \{1, 2, 3, 4, 5, 6\}$ and $E = \{(1, 2), (2, 3), (3, 4), (3, 5), (5, 6), (6, 2), (6, 1)\}$ with a maximum clique $V' = \{1, 2, 3\}$ and four maximal cliques $= \{(2, 3), (3, 4), (3, 5), (5, 6)\}$. The clique problem started from sociology and psychology as complete cliques were modelled in terms of social cliques, for instance groups of people that have some sort of relationship with one another. Example 4. 3. 2 Here is an example of an application that models the clique problem: 1. Social-networks - The maximum clique problem is modelled in social -networks, where the vertices of G would represent people and the edges would represent people who are mutual friends.

24 Figure 4. 4: In the example above we see that the clique of size 3 (such that the 3 people in the diagram know every other in the clique). The three people on the outside don't know anyone else in the group. 4. 4 Vertex Cover Definition 4. 4. 1 Consider a graph G (recall Definition 2. 1. 1). A vertex cover of G is a subset $V' \subseteq V$ such that if $(u, v) \in E$, then $u \in V'$ or $v \in V'$ (or both). That is, each vertex "covers" its incident edges, and a vertex cover for G is a set of vertices that covers all the edges in E . There is an extension of the vertex cover problem which is referred to as the minimum vertex cover, which determines the minimum number of vertices that include all the edges in a given graph G . The vertex cover has the following properties that,

a given graph G is a vertex cover if and only if its complement is an independent set. Also, the vertex cover and the maximum independent set are equal to the $|V|$. Figure 4. 5: j25 Example 4. 4. 2 Here are some simple applications that model Vertex Cover: 1. Computer Network Security - The vertex cover problem has been used in Computer Science to protect computer networks from virus attacks. This was well presented by Eric Filiol [10]. The aim is to find a minimum vertex cover, where the vertices are the servers and the edges are the connections between servers. 4. 5 Hamiltonian Circuit Definition 4. 5. 1 Consider a graph G 2. 1. 1). A Hamiltonian cycle of G is an $2 E$ and $f_{vi, vi+1} g 2 E$ for all $i, 1 \leq i < n$. The Hamiltonian cycle problem involves the process of determining whether or not a given graph G has a edge edge between each pair of consecutive vertices and between the first and last vertex. The Hamiltonian cycle problem has the following properties, suppose we have a Hamiltonian cycle H for a given graph G , each vertex in the cycle has precisely two incident edges, one entering the vertex and one leaving. Also, the only Hamiltonian cycle in G is H . Figure 4. 6: jj In the 1950s, Sir William Hamiltonian a Irish mathematician introduced his Icosian game at a meeting in Dublin in the late 1950s. The game was to use a regular dodecahedron whose twenty vertices labelled with names of cities. The aim was to travel around the dodecahedron by finding a cycle that passes through every city exactly once. Example 4. 5. 2 Here are some simple applications that model Hamiltonian cycles: A Business man - A business traveller leaves every morning from his home which is represented by a vertex 1 and needs to visit a number of his clients, which is represented by v_2, \dots, v_{11} and the edges being all the possible routes the businessman can take before

returning to his home. How would the businessman minimise the total distance he travels on his visits?? here we are looking for the Hamiltonian cycle for the minimum possible length.

4.6 Subset-sum
Definition 4.6.1
 Given a finite set S (recall Definition 2.2.1). The subset-sum problem is a pair (S, t) where $S = \{x_1, x_2, \dots, x_n\}$ is a set of positive integers and t is a positive integer, we ask whether there is a subset S' whose elements sum to t .

Example 4.6.2 Here are some applications that use the Subset problem: 1. knapsack - For the knapsack problem we use different variations of a finite number of variants to find the best possible outcome to a particular situation, a simple example of this would be as follows given the set $S = \{20, 30, 10, 2, 5, 25, 41, 3, 15, 3, 1\}$ what is the best possible way of getting a value $t = 40$ specific to the constraint.

4.7 3-SAT
Definition 4.7.1 Consider a conjunctive normal form formula H (recall Definition 2.3.1). A 3-SAT formula consists of a collection $C = \{c_1, c_2, \dots, c_m\}$, where each clause has 3 distinct literals, $c_i = \{l_{i1} \vee l_{i2} \vee l_{i3}\}$ on a finite set U of variables. Is there a truth assignment for U that satisfies all the clauses in C . The 3-CNF decision problem is the process of determining that there exists an assignment, which satisfies a Boolean Logic formula which is in Conjunctive Normal Form and has exactly 3 distinct literals in each clause. The 3-CNF problem wants to establish that the given formula is satisfiable such that the formula is TRUE for a given instance.

4.8 Sudoku
Definition 4.8.1 Consider a grid G . A Sudoku $S = n^2 \times n^2$ grid, which is divided into $n \times n$ sub-grids, such that, each row, column and $n \times n$ sub-grid has each of the integers from 1 through n^2 exactly once. The Sudoku problem involves the process of filling in a given $n^2 \times n^2$ grid S , such that every row, every column and $n \times n$ sub-grid has each number at most

once. The Sudoku puzzle game is often represented by a 9×9 grid which consists of 3×3 subgrids, where some of the boxes are filled with numbers from 1 through to 9 and there are also blanks that need to be filled, such that all the constraints of Sudoku are adhered to. The Sudoku problem has the following two properties, that Sudoku problems have unique solutions and that Sudoku problems can only be solved with only reasoning. The Sudoku puzzle game is a very well known puzzle game that has achieved international popularity in recent years. The Sudoku puzzle game was introduced in Japan in the mid 1980's by Nikoli in the paper "Monthly Nikolist". The Sudoku puzzle game appeared on British shores in November of 2004 when it appeared on the British newspaper, The Times.

4. 9 Latin square

Definition 4. 9. 1 Consider a grid G . A Latin Square $L = n \times n$ grid, such that each row and column has each of the integers from 1 through n exactly once. The Latin square problem involves the process of filling in a given $n \times n$ grid L , such that every row and every column has each number at most once. The Latin square puzzle is often partially completed, there are also blanks that need to be filled in, such that all the constraints of Sudoku are adhered to. In 1779, Leonhard Euler a Swiss Mathematician introduced the systematic development of Latin square, when he posed "The problem of the 36 officers". The problem was to arrange 36 officers, each having one of six different regiments, in a 6×6 square, so that each row and each column captured one officer of each rank and just one from each regiment. Subsequently, in the 20th century Arthur Cayley a Canadian Mathematician developed on the ground work of Leonhard Euler by showing that the multiplication table of a group is an appropriately bordered special

Latin square. Example 4.9.2 Here are some examples of applications that model Latin squares: 1. Sudoku - The Sudoku puzzle game that grown considerably in popularity in recent years is based on Latin squares, such that each row and column has each of the integers from 1 through to n exactly once. The only additional constraint is that the $n \times n$ subgrid of a $n^2 \times n^2$ contains each of the numbers from 1 through to n^2 at most once. 282.

KenKen - The KenKen puzzle that has also grown in popularity in recent years is also based on Latin squares, such that each row and column has each of the integers from 1 through to n exactly once. The only additional constraint is that, each bold-outlined group of boxes is a grouping that contains integers which achieve the output result using addition, subtraction, multiplication and division. 29 Chapter 5 Definition Of 8 Problems 5.1

Overview In chapter 9, for every of the 8 problems, we begin by giving the original source where its NP-completeness was shown. We present 8 basic NP-complete decision problems by precisely defining what the decision problem is. This will be presented by giving the input of the given decision problem, the output of the decision problem and the size of the problem. 5.2

Graph Colouring 5.2.1 Discovery The K-Colourability was proved to be in the complexity class NP-complete in Richard Karp's paper "Reducibility among Combinatorial problems" [19]. It was shown that, when $K = 2$ it can be solved in polynomial time, but remains NP-complete for all fixed $K \geq 3$. Karp used the 3SAT to be a known NP-complete problem and proved a polynomial-time reduction to K-Colourability from 3SAT. Definition 5.2.1 Consider a graph G (recall Definition 2.1.1) and a positive integer $K \geq |V|$. If G is K-colourable then there exists a function $f: V \rightarrow \{1, 2, \dots, K\}$ such that $f(u) \neq f(v)$ whenever

In other words, the graph colouring decision problem inputs a graph G and a positive integer K , and outputs a YES if G admits a proper vertex colouring with K colours, NO otherwise. Example 5.2.2 Some simple examples for graph colourings and graph-non-colourings [31]1. The Graph $(2, 1)$ is 2 colourable. 2. The Graph $(3, 3)$ is 3 colourable. 3. The Graph $(4, 6)$ is 4 colourable. 4. The Graph $(3, 3)$ is not 2 colourable. 305. The Graph $(4, 6)$ is not 3 colourable. There are two fundamental steps with the graph colouring process. The first is the decision process which we input a graph G with n vertices and choose an integer K where the graph colouring problem decision is yes. The example of the first case where the decision would be NP-complete would be the 3 colouring as shown above. The output of the decision would be whether or not the graph G admits a proper vertex colouring with K colours. There is also a special case where a graph is 2 colourable and can be done in P (Polynomial-time) and this is where the particular graph that we're colouring is bipartite which is a particular graph whose vertices can be divided into two disjoint sets where every edge that connects a vertex in U to one in V . Definition 5.2.3 The chromatic number of a graph G , denoted by $\chi(G)$, is the smallest integer $k \geq 0$ such that G has a k -colouring. Example 5.2.4 The three most trivial examples: 1. $\chi(\emptyset) = 0$; in general we have $\chi(G) = 0$ if and only if $V(G) = \emptyset$. 2. $\chi(K_1) = 1$; in general we have $\chi(G) = 1$ if and only if $E(G) = \emptyset$ and $V(G) \neq \emptyset$. 3. $\chi(K_2) = 2$; in general we have $\chi(G) = 2$ if and only if $E(G) = 1$ and $V(G) = 2$. 31 In other words, The chromatic number refers to the smallest number of colours needed to colour a graph G is called the chromatic number and is often denoted by $\chi(G)$. With the optimisation process we will have an input of

agraph G with n vertices. We will first have a look at the simplest form which is not bipartite. We have looked at this previously which is the triangle K_3 . Example 5.2.5 Some more Chromatic number examples: 1. $\chi(K_3) = 3$; in general we have $\chi(G) = 3$ if and only if $E(G) = 3$ and $V(G) = 3$. 2. $\chi(K_4) = 4$; in general we have $\chi(G) = 4$ if and only if $E(G) = 6$ and $V(G) = 4$. Example 5.2.6 On the other hand the following graphs will not be $n-1$ colourable: 1. $\chi(K_3) = 2$; if and only if $E(G) = 3$. 2. $\chi(K_4) = 3$; if and only if $E(G) = 6$. 3. Clearly shown above for the base case if both the sub clauses are true then as a result the graph-colouring problem is satisfied. Lemma 5.2.7 There is a polynomial-time reduction (recall Definition 3.4.3) from 3SAT (recall Definition 5.3.1) to 3COL (see Definition 5.2.1). Let $\mathcal{U} = \{u_1, u_2, \dots, u_n\}$ and $C = \{c_1, c_2, \dots, c_m\}$ be any instance of 3SAT. We must construct a graph $G = (V, E)$ such that G is 3COL if and only if 3SAT is satisfiable. To prove 3-colourable is NP-complete we use a gadget construction from 3SAT by mapping a given 3CNF formula H to the graph G that consists of a vertex for each variable, a vertex for the negation of each variable, 5 vertices for each clause and 3 special vertices: TRUE, FALSE and BLUE. The edges of the graph are of two types: literal edges which are independent of the clause and clause edges that depend on the clause. The TRUE and FALSE of the special vertices that are connected by an edge which indicates they must be given different colours in the 3-colouring problem, most often than not True is given the colour green and False is given the colour red. The third special vertex which is BLUE is connected to both the TRUE and FALSE vertices. The 3 special vertices would look as follows below: 3.3 For each clause the variable x is a pair of vertices which associated with

twoliterals x and $\neg x$. For each clause we have three of these vertices and they are all connected to the vertex blue by an edge. Such that, the negation $\neg x$ would have different colour to x and at least one of the literal vertices must be assigned to True. The connecting of variables to the special vertices would look as follows for the following formula $(x \vee y \vee z)$ where $x = 1$, $y = 1$ and $z = 0$: For each clause we will end up with 5 special vertices with 5 edges which would be connected in a similar way to the diagram below were the bottom vertex of the triangle is coloured Green or Red according to the outcome of the sub clause, for example for our clause $(x \vee y \vee z)$, where $x = 1$, $y = 1$ and $z = 0$ the bottom vertex would be coloured Green as shown below. Now we suppose that the 3-SAT formula is Satisfiable. We will show this by constructing a truth table for the 3-SAT formula $H(x \vee y \vee z)$ to show that we have a satisfying instance of the formula. The truth table below shows that we have a satisfying instance where $x = 1$, $y = 1$ and $z = 0$:

The gadget has the property that it is possible to colour the terminals with any combination of the colours True and False, except for colouring all the literal vertices with False. Such that, in any legal 3-colouring of graph G , if no literal is coloured Blue, then at least one of these literals is coloured True. For each ground vertex there exist an edge which is connected to True and/or False of the master vertex. So using the case of the truth table showing that all the ground vertices cannot be False, thus the failing of the truth table implies the graph cannot be satisfied. We have stated earlier that at least one of the literals has to be True and the truth table connects the literal and the master vertex.

I will now go onto show a 3 colouring gadget corresponding to the following 3-CNF formula: $(x_1 \vee x_2 \vee x_3) \vee (\neg x_1 \vee \dots)$

$x_2 \wedge x_3) \vee (x_1 \wedge x_2 \wedge x_3)$

These two gadget graphs above show the two cases, when the truth table is not satisfiable, implying that the graph is not satisfiable in the first case because the truth table is not satisfiable we find that the 3 graph colouring is not satisfiable. The instance when $x_1 = 1$, $x_2 = 1$ and $x_3 = 0$: This case doesn't satisfy in the truth table and it's also the case that it doesn't satisfy in the 3-graph colouring. Secondly, the instance when $x_1 = 1$, $x_2 = 0$ and $x_3 = 1$: This case in the truth table is satisfied and it's also the case that the 3-graph colouring is also satisfiable.

3-SAT Discovery The 3SAT problem was the second problem that was proved to be in the complexity class NP-complete in Stephen Cook's paper "The complexity of theorem proving procedures" [7]. 2SAT efficiently solved in polynomial time is shown in [2], but it remains NP-complete for 3SAT.

Stephen Cook used the Satisfiability problem to prove a polynomial time reduction to 3SAT.

Definition 5.3.1 Consider a conjunctive normal form formula H (recall Definition 2.3.1). A 3-SAT decision problem formula consists of a collection $C = \{c_1, c_2, \dots, c_m\}$, where each clause has 3 distinct literals, $c_i = \{l_{i1} \vee l_{i2} \vee l_{i3}\}$ on a finite set U of variables. Is there a truth assignment for U that satisfies all the clauses in C . In other words, the 3SAT decision problem inputs a 3SAT formula H and the output is YES if H has a satisfying truth assignment for C , NO otherwise.

Example 5.3.2 Some simple examples of 3-SAT formulas:

- $H = (x_1 \wedge x_2 \wedge x_3)$
- $H = (x_1 \vee x_1 \vee x_2) \vee (x_3 \wedge x_3 \wedge x_4) \vee (\neg x_1 \vee x_3 \vee x_4)$
- $H = (x_1 \vee x_2 \vee x_3) \vee (\neg x_1 \wedge x_2 \wedge x_3)$

Lemma 5.3.3 There is a polynomial-time reduction from SAT (see Definition XXX) to 3-SAT (see Definition XXX). Firstly, we construct a binary parse tree for the given SAT formula H , where the

connectives are nodes and the literals are leaves. For the following SAT formula $H = ((x_1 \wedge x_2) \wedge ((\neg x_1 \vee x_3) \wedge x_4)) \vee \neg x_2$. The binary parse tree would look as follows:

The reduction from SAT to binary parse tree shows that the literal node must have one or two leaves, also we introduce a variable y_i for the output of the internal node. We then go on to rewrite the original formula as the AND of the root variable and the conjunction of clauses describing the operation of each node. For our SAT formula above the result would look as follows: From the following operation the formula H obtains a conjunction of the clauses which at most has 3 literals. The normal requirement is that each clause must be a disjunction of literals. Secondly, we must convert each of the clauses into disjunctive normal form. This is converted by constructing a truth table for each of the clauses in H

!

We determine the entries of the truth table that evaluate to 0 (false). For the clause H_6 the truth table would look as follows: We build the formula in Disjunctive normal form which is those entries that have evaluated to 0. So going top down in our truth table for H_6 , case 4, 5, 6 and 7 would be the entries that are transformed to DNF as they all evaluate to 0. We do the transformation by looking at the assignment of variables in the cases, and if the variable is assigned to 1 we write down the positive literal in the clause but if the variable is assigned to 0 we write the negation of that literal. The Disjunctive Normal Form that is equivalent to H_6 is as follows:

:

$$H'6 = (y6V: x1V: x3)W(: y6Vx1Vx3)W(: y6Vx1V: x3)WV (: y6: x1Vx3)39We$$

then go onto convert the formula : H'6 into Conjunctive normal form by using the DeMorgan's Laws. This operation will complement all the literals and change disjunctions to conjunctions and conjunctions to disjunctions.

The following Disjunctive Normal Form formula H'6 in Conjunctive Normal Form would look as follows: $H''6 = (: y6Wx1Wx3)V(y6W: x1W: x3)V(y6W: x1Wx3)V(y6Wx1W: x3)W$ We can claim that the following clause H''6 clause in Conjunctive Normal Form is equivalent to the original SAT clause H'6 as shown below with the following two truth tables: We do the following transformation for each clause in H' so that each clause is in Conjunctive Normal Form. The final step of the transformation is determining that each clause has exactly three distinct literals. Firstly, if the given clause C_i has exactly 3 literals this means that C_i satisfies all the requirements of 3-CNF and can be included in the formula. Subsequently, if the given clause $C_i = (l1Wl2)$ has 2 distinct literals, we include an additional literal to the clause p and $: p$ as follows

$(l1Wl2Wp)V(l1Wl2W: p)$ the following clause is equivalent to $(l1Wl2)$ whether or not $p = 0$ or $p = 1$. All the additional literal does is fulfill the requirement of 3-CNF that each clause must have 3 distinct literals. For instance the clause $C4 = (: y4W: y5)V(y4Wy5)$ from our formula H'' is very much equivalent to $(: y4WW : y5p)V(: y4W: y5W: p)V(y4Wy5Wp)V(y4Wy5W: p)$ as shown below:

40 Finally, if the given clause $C_i = (l1)$ has 1 distinct literal, we include additional literals to the clause p and q as follows: $(l1WpWq)V(l1WpWV : q)(l1W: pWq)V(l1W: pW: q)$. The following clause is equivalent to $l1$ whether or not $p = 1$ or $p = 0$ and $q = 1$ and $q = 0$. Again, all the additional literal does is

ful_1 the requirement that each clause must have 3-distinct literals. For instance the clause $C_i = (x_i)$, is very much equivalent to $(x_i \vee p \vee q) \vee (x_i \vee p \vee : q) \vee (x_i \vee : p \vee q)$